

# Nomination Form

For Registration / Modification / Cancellation of Nominees / Opt out of Nomination  
(for Individual Unit Holders only)



Folio No.

Date

Name of 1<sup>st</sup> Holder : \_\_\_\_\_

Name of 2<sup>nd</sup> Holder : \_\_\_\_\_

Name of 3<sup>rd</sup> Holder : \_\_\_\_\_

I / We wish to :

Register<sup>1</sup> a Nominee for our investments  Modify<sup>1</sup> nomination registered in the folio  Cancel nomination registered in the folio

Declare that I / We do not wish to appoint a nominee for our investments in the above folio. I / We understand that in the event of death of all unitholder(s) in the folio, the legal heirs for the unit holders would need to submit all requisite documents for transmitting the units in favour of the legal heir based on applicable legal / regulatory requirements at the stage of initiation of the transmission request.

## NEW NOMINATION TO BE PROVIDED FOR REGISTRATION / MODIFICATION

**Name of 1<sup>st</sup> Nominee :** \_\_\_\_\_  
PAN\*\*  Date of Birth\*   
Relationship with 1<sup>st</sup> holder :  Father  Mother  Spouse  Son  Daughter  Others \_\_\_\_\_ (Please specify)  
Proof of relationship :  Birth Certificate  Marriage Certificate  Passport  Others \_\_\_\_\_ (Please specify)  
**Address of Nominee (Please ✓)**  Same Address as is updated in the folio  Different Address. Please provide details below:  
Address: \_\_\_\_\_  
City : \_\_\_\_\_ State : \_\_\_\_\_ PIN   
% of Allocation<sup>2</sup> :  100%  75%  50%  33⅓%  25%  Others \_\_\_\_\_ (Please specify)  
**Guardian Information (To be mandatorily provided if Nominee is below 18 years of age)**  
Name of Guardian : \_\_\_\_\_ PAN of Guardian\*   
Guardian's Relationship with Nominee\* :  Mother  Father  Legal Guardian

**Name of 2<sup>nd</sup> Nominee :** \_\_\_\_\_  
PAN\*\*  Date of Birth\*   
Relationship with 1<sup>st</sup> holder :  Father  Mother  Spouse  Son  Daughter  Others \_\_\_\_\_ (Please specify)  
Proof of relationship :  Birth Certificate  Marriage Certificate  Passport  Others \_\_\_\_\_ (Please specify)  
**Address of Nominee (Please ✓)**  Same Address as is updated in the folio  Different Address. Please provide details below:  
Address: \_\_\_\_\_  
City : \_\_\_\_\_ State : \_\_\_\_\_ PIN   
% of Allocation<sup>2</sup> :  100%  75%  50%  33⅓%  25%  Others \_\_\_\_\_ (Please specify)  
**Guardian Information (To be mandatorily provided if Nominee is below 18 years of age)**  
Name of Guardian : \_\_\_\_\_ PAN of Guardian\*   
Guardian's Relationship with Nominee\* :  Mother  Father  Legal Guardian

**Name of 3<sup>rd</sup> Nominee :** \_\_\_\_\_  
PAN\*\*  Date of Birth\*   
Relationship with 1<sup>st</sup> holder :  Father  Mother  Spouse  Son  Daughter  Others \_\_\_\_\_ (Please specify)  
Proof of relationship :  Birth Certificate  Marriage Certificate  Passport  Others \_\_\_\_\_ (Please specify)  
**Address of Nominee (Please ✓)**  Same Address as is updated in the folio  Different Address. Please provide details below:  
Address: \_\_\_\_\_  
City : \_\_\_\_\_ State : \_\_\_\_\_ PIN   
% of Allocation<sup>2</sup> :  100%  75%  50%  33⅓%  25%  Others \_\_\_\_\_ (Please specify)  
**Guardian Information (To be mandatorily provided if Nominee is below 18 years of age)**  
Name of Guardian : \_\_\_\_\_ PAN of Guardian\*   
Guardian's Relationship with Nominee\* :  Mother  Father  Legal Guardian

\* Mandatory if nominee is below 18 years of age (minor). Please also attach a copy of the birth certificate / alternate proof of date of birth of the nominee.

\*\* PAN of nominee must be mandatorily furnished if nominee is above 18 years of age.

<sup>1</sup> Nomination details provided would over-ride any previous nomination registered in the folio.

<sup>2</sup> Please ensure that sum of allocation% across all nominees in the folio adds up to exactly 100%.

Signature of 1<sup>st</sup> holder \_\_\_\_\_ Signature of 2<sup>nd</sup> holder \_\_\_\_\_ Signature of 3<sup>rd</sup> holder \_\_\_\_\_

(To be signed by all unit holders in the folio)

## Instructions

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis).
5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. **Multiple Nominees:** Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
12. Nomination shall stand rescinded upon the transfer of units.
13. **Death of Nominee/s:** In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
14. **Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).**
15. **Cancellation of Nomination:** Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
16. Unit holders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
17. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
18. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

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